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PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	0220US210
	<b>First Named Inventor</b>	Claus Bornaes
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	10/004,201
	<b>Filing Date</b>	November 1, 2001
	<b>Group Art Unit</b>	1644
	<b>Examiner Name</b>	Unassigned

**As a below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

NEW MULTIMERIC INTERFERON BETA POLYPEPTIDES

(Title of the Invention)

the specification of which

☐ is attached hereto      OR      as United States Application Number or PCT International

☒ was filed on (MM/DD/YYYY) November 1, 2001 (if applicable).

Application Number 10/004,201 and was amended on (MM/DD/YYYY)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/245,645	11/02/2000	

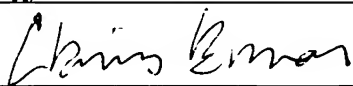
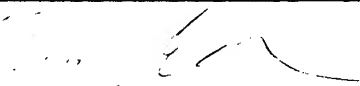
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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input type="checkbox"/> Customer Number or Bar Code Label <input style="width: 100px; height: 20px;" type="text"/>		OR <input checked="" type="checkbox"/> Correspondence address below	
<b>Name</b> Joanne R. Petithory			
<b>Address</b> Maxygen, Inc.			
<b>Address</b> 515 Galveston Drive			
<b>City</b> Redwood City	<b>State</b> CA	<b>ZIP</b> 94063	
<b>Country</b> USA	<b>Telephone</b> 650-298-5300	<b>Fax</b> 650-298-5446	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>			
<b>NAME OF SOLE OR FIRST INVENTOR :</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b> Claus (first and middle [if any])		<b>Family Name</b> Bornaes or Surname	
<b>Inventor's Signature</b> 		<b>Date</b> 27/2/02	
<b>Residence: City</b> Hellerup	<b>State</b>	<b>Country</b> Denmark	<b>Citizenship</b> Denmark
<b>Mailing Address</b> Hoejsgaards Allé 6			
<b>Mailing Address</b>			
<b>City</b> Hellerup	<b>State</b>	<b>ZIP</b> DK-2900	<b>Country</b> Denmark
<b>NAME OF SECOND INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<b>Given Name</b> Kim Vilbour (first and middle [if any])		<b>Family Name</b> Andersen or Surname	
<b>Inventor's Signature</b> 		<b>Date</b> 27/2/02	
<b>Residence: City</b> Broenshoej	<b>State</b>	<b>Country</b> Denmark	<b>Citizenship</b> Denmark
<b>Mailing Address</b> Karlstad Allé 7			
<b>Mailing Address</b>			
<b>City</b> Broenshoej	<b>State</b>	<b>ZIP</b> DK-2700	<b>Country</b> Denmark
<input checked="" type="checkbox"/> Additional inventors are being named on the ... supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.			

Please type a plus sign (+) inside this box → +

PTO/SB/02A (11-00)

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>11</u> of <u>11</u>
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<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Poul Baad		Rasmussen	
Inventor's Signature <i>Poul Baad Rasmussen</i>		Date <i>27.02.2002</i>	
Residence: City	Soeborg	State	Country
			Denmark
Citizenship Denmark			
Mailing Address Ved Renden 23			
Mailing Address			
City	Soeborg	State	ZIP
			DK-2860
Country		Denmark	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Anders Hjelholt		Pedersen	
Inventor's Signature <i>Anders Hjelholt</i>		Date <i>4.03.2002</i>	
Residence: City	Lyngby	State	Country
			Denmark
Citizenship Denmark			
Mailing Address Nybrovaenge 58			
Mailing Address			
City	Lyngby	State	ZIP
			DK-2800
Country		Denmark	
<b>Name of Additional Joint Inventor, if any:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: **Claus Bornaes et al.**

Application No.: **10/004,201**

Filed: **November 1, 2001**

Entitled: **NEW MULTIMERIC INTERFERON POLYPEPTIDES**

**Maxygen ApS**

, a

**corporation**

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of a one-half undivided part interest

in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_, Frame \_\_, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_, Frame \_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignees.

**3-11-02**

Date



Signature

**Russell J. Howard**

Typed or printed name

**Chairman**

Title

**ASSIGNMENT OF PATENT APPLICATION**

JOINT

WHEREAS, Claus Bornaes, residing at Hoejsgaards Allé 6, DK-2900 Hellerup, Denmark, Kim Vilbour Andersen, residing at Karlstad Allé 7, DK-2700 Broenshoej, Denmark, Poul Baad Rasmussen, residing at Ved Renden 23, DK-2860 Soeborg, Denmark and Anders Hjelholt Pedersen, residing at Nybrovaenge 58, DK-2800 Lyngby, Denmark hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:	<b>NEW MULTIMERIC INTERFERON BETA POLYPEPTIDES</b>
Filing Date:	<b>November 1, 2001</b>
Application No.:	<b>10/004,201; and</b>

WHEREAS, Maxygen ApS, a Denmark corporation, located at Agern Allé 1, DK-2970 Hoersholm, Denmark hereinafter referred to as "ASSIGNEE," are desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee one-half (50 percent) of all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignment  
Application No.: 10/004,201  
Page 2

IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 27/2/02

Claus Bornaes  
Claus Bornaes

SIGNATURE WITNESSED BY:

(1) Date: 27-FEB-2002

[Signature]  
Signature of Witness

Dated: 27.02.02.

[Signature]  
Kim Vilbour Andersen

SIGNATURE WITNESSED BY:

(1) Date: 27 FEB-2002

[Signature]  
Signature of Witness

Dated: 27.02.2002

Poul B. Rasmussen  
Poul Baad Rasmussen

SIGNATURE WITNESSED BY:

(1) Date: 27-FEB-2002

[Signature]  
Signature of Witness

Dated: 4.03.2002

[Signature]  
Anders Hjelholt Pedersen

SIGNATURE WITNESSED BY:

(1) Date: 04-MAR-2002

[Signature]  
Signature of Witness